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REMARKS

I. Introduction

In response to the Office Action dated July 6, 2006, claims 3, 6, 10 and 19 have been cancelled, claims 1, 7, 11, 14, 19 and 21 have been amended, and 22-23 have been added. Claims 1-2, 4-5, 7-9, 11-18 and 20-23 remain in the application. It is not the Applicant's intent to surrender any equivalents because of the amendments or arguments presented herein. Re-examination and re-consideration of the application, as amended, is requested.

II. Claim Amendments

Applicant has made amendments to the claims and added new claims as indicated above. The amendments to the claims and new claims are fully supported by the specification as filed and introduce no new matter. New claims 22 and 23 exactly recite those methods identified as enabled by the Examiner at page 4 of the Office Action.

III. Restriction Requirement

In a Restriction Requirement dated April 21, 2006, the claims were restricted to claim Groups I-VII. On page 2 of the instant Office Action, Examiner notes that Groups I, III, V, VI, and VII are rejoined. Specifically, Examiner notes that it is deemed to not require an undue burden to search the product made by the elected method along with the method (Groups I and III). Also, examination of the methods and product of Groups V, VI, and VII does not require an undue burden. The Applicant respectfully thanks the Examiner for the rejoinder of Groups I, III, V, VI, and VII.

IV. Examiner Interview Summary

As noted on page 2 of the Office Action, record is made of a telephone interview on June 06, 2006, between the Applicant's Attorney, William J. Wood, and Examiner Valarie E. Bertoglio in connection with the present patent application wherein it was agreed that claim 15 should be omitted from Group I and included in Group VI, which has been rejoined with Group I. Thus claim 15 is under consideration as it relates to Group VI, but not to Group I.

V. Sequence Compliance

On page 3 of the Office Action, the Examiner noted that the application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences as set forth in 37 CFR §§1.821(a)(1) and (a)(2). The Examiner asserted, however, that the application fails to comply with the requirements of 37 CFR §1.821 through §1.825 for the reason(s) set forth in the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (attached herein as Attachment A). Specifically, the nucleic acids in Figure 7 require Sequence Identifiers either in the figure itself or in the Brief Description of the Drawings.

Pursuant to the Examiner's comments, the Brief Description of the Drawings has been amended to add the appropriate sequence identifiers. As noted below, these 7 sequences are found in the Statement Regarding Sequence Listing under 37 CFR §§1.821 to 1.825 that was submitted on December 13, 2004.

The Examiner suggested submitting another Sequence Listing. However, the Applicant respectfully notes that a Sequence Listing was already filed in the corresponding PCT International Application (PCT/US03/18393). In particular, Statement Regarding Sequence Listing under 37 CFR §§1.821 to 1.825 was submitted on December 13, 2004, along with a computer readable form of the Sequence Listing. The computer readable form of the Sequence Listing is exactly the same as the Sequence Listing filed in the corresponding PCT International Application (PCT/US03/18393). The Sequence Listing filed in the corresponding PCT International Application contains (7) sequences, which are the exact same (7) sequences listed in Figure 7 and identified in the "Brief Description of Drawings" herein. As such, the requirements under 37 CFR §§ 1.821-1.825 have been satisfied and Applicant is not required to submit another Sequence Listing..

VI. Non-Art Rejections

A. REJECTIONS UNDER 35 U.S.C. §112, FIRST PARAGRAPH.

On pages 3-7 of the Office Action, claims 1, 2, 4-9 and 11-20 were rejected under 35 U.S.C. §112, first paragraph. In this rejection, the Examiner asserted that the specification did not enable

any person skilled in the art to which the invention pertains, or with which it is most nearly connected, to make and use the invention as broadly as it is claimed.

In responding to this rejection, Applicant first sincerely thanks the Examiner for identifying the subject matter deemed to be allowable. New claims 22-23 have been drafted to recite the subject identified by the Examiner as enabled and in compliance with 35 U.S.C. §112, first paragraph.

In addition, in order to further the prosecution of the instant Application, independent claims 1 and 11 have been amended hereinabove to recite the subject matter identified by the Examiner as being enabled. For example, independent claims 1 and 11 now recite methods that focus the recited invention on introducing an exogenous nucleic acid sequence into the genome of a cultured embryonic fibroblast cell derived from a progenitor oviparous teleost fish (where the fish embryo develops externally).

The Applicant does however traverse the Examiner's rejection to claims 1 and 11 that is predicated on the assertion that the nucleus and the enucleated egg must be of the same fish species and instead recite "(c) transplanting the nucleus of the cell of step (b) into an enucleated egg from a parental fish of the same genus as the progenitor fish". Applicant traverses this rejection because, as noted at page 30, lines 28-30, the art teaches that nuclei can be successfully transplanted into eggs of fish within the same Genus as taught for example in Zhu et al., Cell Research (2000), 10, 17-27, a copy of which is provided as Attachment B. In particular, as noted at page 20 of Zhu et al. great successes of fish nuclear transplantation are achieved between different genus and different subfamilies. Because the art in this field of technology teaches that the similarities between fish within the same genus allows nuclear transfer with great success, the claims have now been focused to this embodiment of the invention. A review of this teaching in the art in view of the enablement requirements articulated for example in the *In re Wands* case cited by the Examiner (e.g. Wands' factor (7)) shows that this embodiment of the invention is enabled.

In view of the amendments to the claims and the arguments presented above, the Applicant respectfully requests a withdrawal of the rejections under 35 U.S.C. §112, first paragraph.

B. REJECTIONS UNDER 35 U.S.C. §112, SECOND PARAGRAPH.

On page 8 of the Office Action, claims 6, 7, and 19 were rejected under 35 U.S.C. §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the Applicant regards as the invention.

Applicant has cancelled claim 6 and amended claim 7 to depend from claim 1 (and not claim 6), rendering the 35 U.S.C. §112, second paragraph rejections of claims 6 and 7 moot.

Additionally, the Examiner noted that claim 19 is not clear because it is not known whether "derived from an embryo of the progenitor fish" is referring to an embryo that is the progeny of a progenitor fish or a progenitor fish that is an embryo. The Applicant respectfully notes that "derived from the embryo of the progenitor fish" is referring to an embryo that is the progeny of a progenitor fish, and is supported by the specification as filed. The Applicant notes, for example, on p. 5, lines 13-14, which recites in part, "...the cultured cell used to generate the progeny fish is a fibroblast derived from the embryo of the progenitor fish."

Applicant, however, has amended claim 19 to recite "...wherein the embryonic cell is ~~an embryonic~~ ~~a~~ fibroblast derived from ~~an embryo~~ of the progenitor fish." Claim 19 depends from amended claim 11, which recites "...an embryonic cell from a progenitor fish." As such, the embryonic cell recited in amended claim 19 is from a progenitor fish.

In view of the amendments to the claims and the arguments presented above, Applicant therefore respectfully requests a withdrawal of the 35 U.S.C. §112, second paragraph rejections of claims 7 and 19.

VII. Prior Art Rejections

On pages 8-9 of the Office Action, claims 10 and 21 were rejected under 35 U.S.C. §102(b) as being anticipated by, or, in the alternative, as obvious over Long et al [1997, Development, 124:4105-4111] (Long).

The Applicant respectfully traverses these rejections. However, to expedite prosecution and in order to expedite filing of continuation applications for this subject matter, Applicant has cancelled claim 10.

Dependent claim 21 as amended recites a transgenic fish made according to the method of claim 15, "wherein the transgenic fish has at least one endogenous gene product that is inactivated

by the transgene." However, a detailed review of Long shows that it does not teach a transgenic fish that has at least one endogenous gene product that is inactivated a transgene, i.e., a knockout fish. Instead Long teaches a transgenic zebrafish wherein a DNA construct containing the *GATA-1* promoter sequence and the GFP reporter gene are microinjected into single-cell zebrafish embryos to track the activity of the *GATA-1* promoter and/or the expression pattern of *GATA-1* in various tissues/cells.

As noted in M.P.E.P. 2131, to anticipate a claim, a reference must teach every element of a claim. In particular, a claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described in a single art reference. Because the Long disclosure fails to teach a transgenic knockout fish, this disclosure cannot anticipate the claimed invention. For this reason, Applicant respectfully requests withdrawal of the rejection under 35 U.S.C. §102(b).

In addition, the Applicant notes that the Long reference cannot be used to render the claimed invention obvious because it fails to suggest and/or provide a motivation to modify the disclosure in a manner that renders the claimed invention obvious (see, e.g., M.P.E.P. §2143.01). In particular, the purpose of microinjecting the *GATA-1* promoter/GFP DNA construct in the Long reference was to use a GFP reporter gene to recapitulate the *GATA-1* expression pattern in erythroid cells. The DNA construct microinjected into the embryo cannot be modified to inactivate an endogenous gene for example, as the very purpose of using the DNA construct was to use GFP to track the activity of the *GATA-1* promoter in various tissues/cells, and not to use knockouts to study function (i.e., GFP was deemed to be a better way of tracking expression rather than in situ hybridization for example). It was the use of the GFP reporter to track expression patterns that was important in Long.

Because any modification to the Long reference that would lead to the invention recited in amended dependent claim 21 would compromise the purpose of the GFP construct in the Long reference, the disclosure fails to provide the requisite motivation to combine the individual elements in a manner that generates the claimed invention.

Thus the Applicant submits that amended dependent claim 21, reciting a knockout fish, is allowable over Long. Amended dependent 21 recites a fish wherein the fish has at least one endogenous gene product that is inactivated by a transgene, i.e., a fish knockout, which is not taught nor suggested by Long.

**VIII. Conclusion**

In view of the above, it is submitted that this application is now in good order for allowance and such allowance is respectfully solicited. Should the Examiner believe minor matters still remain that can be resolved in a telephone interview, the Examiner is urged to call Applicant's undersigned attorney.

Respectfully submitted,

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WJW/

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T-142 P.016/029 F-553

ATTACHMENT A

**Application No.: 10/517880**

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- 7. Other: The sequences in figure 7 require sequence identifiers in the figures or in the Brief Description of the Drawings.

**If Necessary, Applicant Must Provide:**

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

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ATTACHMENT B

Cell Research (2000), 10, 17-27

## REVIEW

### Embryonic and genetic manipulation in fish

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#### ABSTRACT

Fishes, the biggest and most diverse community in vertebrates are good experimental models for studies of cell and developmental biology by many favorable characteristics. Nuclear transplantation in fish has been thoroughly studied in China since 1960s. Fish nuclei of embryonic cells from different genera were transplanted into enucleated eggs generating nucleo-cytoplasmic hybrids of adults. Most importantly, nuclei of cultured goldfish kidney cells had been reprogrammed in enucleated eggs to support embryogenesis and ontogenesis of a fertile fish. This was the first case of cloned fish with somatic cells. Based on the technique of microinjection, recombinant MThGH gene has been transferred into fish eggs and the first batch of transgenic fish were produced in 1984. The behavior of foreign gene was characterized and the onset of the foreign gene replication occurred between the blastula to gastrula stages and random integration mainly occurred at later stages of embryogenesis. This eventually led to the transgenic mosaicism. The MThGH-transferred common carp enhanced growth rate by 2-4 times in the founder juveniles and doubled the body weight in the adults. The transgenic common carp were more efficient in utilizing dietary protein than the controls. An "all-fish" gene construct CAgcGH has been made by splicing the common carp  $\beta$ -actin gene (CA) promoter onto the grass carp growth hormone gene (gcGH) coding sequence. The CAgcGH-transferred Yellow River Carp have also shown significantly

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fast-growth trait. Combination of techniques of fish cell culture, gene transformation with cultured cells and nuclear transplantation should be able to generate homogeneous strain of valuable transgenic fish to fulfil human requirement in 21<sup>st</sup> century.

**Key words:** *Fish, nuclear transplantation, transgenic fish, gene targeting.*

## INTRODUCTION

Lives on earth first appeared over 3 billion years ago. As a result of evolution, vertebrates are the utmost advanced life form distributing from seabed to high-mountain. Fishes, at the lower stage of evolution but being the biggest community in vertebrates, include about 21,700 to 28,000 species that take over almost half of the total number of vertebrates[1]. Fishes are also models for experimental study as the eggs are large, fertilization and development are externally and the embryos are transparent. In addition, mono-sexual breeding and crossbreeding between many distantly related species could be done in fishes.

Fish culture is one of the earliest activities of human civilization. Time dating back to 2500 years ago when China was at the Spring and Autumn Period, an ancient Chinese named Fan Li created a great literature "Handbook of Fish Culture" in which domestication and cultivation of common carp in ponds were described in detail. This is the first monograph of fish culture in the world. Since then, Chinese farmers enjoyed a long tradition of selective domestication resulting in very valuable "four farming species" of fish for pond culture. These are black carp (*Mylopharyngodon poceus*), grass carp (*Ctenopharyngodon idellus*), silver carp (*Hypophthalmichthys molivrix*) and big-head carp (*Aristichthys nobilis*). Based on the feeding habits of these fishes, Chinese farmers also invented "multi-culture method" of stocking the four farming species together in the same water body. This is in fact a sustainable ecological system by that farmers are able to gain maximum output with minimum cost. These domesticated species and the multi-culture method have been favorably adopted by other nations abroad.

In addition to the traditional experiences of fish farming, scientists in China initiated some fundamental research in fish cell and developmental biology and molecular genetics leading to a break-through in fish biotechnology. The major achievements are pointed as follows. In 1960's, Professor Tung T. C. first introduced the art of nuclear transplantation with fish to study the interaction between nucleus and cytoplasm[2]. Tung and his colleagues succeeded in generation of "nuclear-cytoplasmic hybridized fish" between different species (reviewed in [3]). In early 1980's, the first somatic cell

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cloned fish was derived from kidney cells by nuclear transplantation[4]. In 1984, the first batch of transgenic fish was also generated[5]. The development and combination of technologies of nuclear transplantation and gene transfer in fish predicate that a new era in fish breeding is coming; fish directional breeding will revolutionize the traditional fish farming industry.

### Nuclear transplantation in fish

The concept of nuclear transplantation dates back to 1938 when a German biologist, Hans Spermann[6], proposed an experiment that would evaluate the relative importance of the nucleus and cytoplasm in controlling early developmental events. In the early 1950's, the technology of nuclear transplantation was first demonstrated with frogs by Briggs and King[7] and amphibians were becoming most commonly used for studying nuclear transplantation (reviewed in [8]). These studies revealed that the nuclei undergo restriction in developmental capacity as cells became differentiated. Although several experiments suggested that differentiated somatic cells still had developmental totipotency[9-11], the cell differentiation didn't necessitate any irreversible changes in nuclear genetic material. Some subsequent experiments with differentiated somatic cells from *Xenopus*[12],[13] and *Rana pipiens*[14-17] could hardly supported the previous cases because that nuclei from these cells only supported the ontogenesis to feeding-stage of tadpole but not to an adulthood. Thus, whether ultimately differentiated cells have the capacity to support the reconstructed embryos to complete their full ontogenesis still remains unanswered. Illmensee and Hoppe in 1981 first succeeded in nuclear transplantation in mammals[18]. They reported that an inner cell mass nucleus could support early development of an enucleated egg in mouse. Three years latter, McGrath and Solter[19] reported nuclear transplantation in mice by using microinjection coupled with cell fusion technique. Since then, embryonic nuclear transplantation in sheep, calve, rabbit, porcine and goat were successively done[20-24]. In 1997, the birth of cloned lamb "Dolly" derived from an adult mammary gland cell marked the beginning of a "golden-age" in animal cloning[25]. Recently, success has also been announced in cloning mice, goat, and cattle derived from differentiated cells: fetal fibroblasts[26], muscle cells[27], cumulus cells[28], [29] or oviductal cells[29]. Successes on somatic cloning conclusively revealed that differentiated adult cells still remain totipotent and maintain the whole genome to support normal development to term.

In amphibian and mammals, nuclear transplantation has been successfully done within the same species. In other words, the donor of nucleus and the host of enucleated eggs must come from the same species. On the other hand, "Tung's fish" brought an absolutely new story. Tung's embryonic nuclear transplantation in fish involves three steps in general[2],[3]. (1) Preparation of donor cells: the blastoderm was separated from the yolk with a fine glass needle, and carefully placed in Holtfreter's dissociation solution for further separating into individual cells. (2) Preparation of unfertil-

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ized host eggs: the mature eggs stripped from the female were dichorionized with a pair of forceps, and enucleated by inserting a sharp glass needle into the egg cytoplasm just underneath the site of the second polar body. (3) Nuclear transplantation: after donor cell was sucked into the micropipette by a slight negative pressure and microinjected into the enucleated eggs, the reconstructed embryos were put into Holtfreter's solution for further development. Great successes of fish nuclear transplantation were achieved between different genus (common carp (*Cyprinus Carpio Linnaeus*) and crucian carp (*Carassius aurantus*))[30],[31] and different subfamilies (grass carp (*Ctenopharyngodon idellus*) and blunt-snout bream (*Megalobrama amblycephala*))[32],[33]. The nuclear-cytoplasmic hybrid fish revealed that while most phenotypic characteristics were controlled by the nucleus, a few were controlled by the cytoplasm or by both (reviewed in [3]).

In 1984, the first somatic cell cloned fish derived from short-term cultured kidney cells of triploid crucian carp was produced[4]. In this experiment two rounds of nuclear transfer were carried out. In the first round, the nucleus (3n) was transferred into the enucleated eggs (2n) of crucian carp. There were 41% of the injected eggs developed into blastulae but no future development occurred. Nuclei from the blastulae were taken for second round of transfer into another batch of enucleated eggs (2n). In the second round, 8 gastrula generated and one of them developed into a fertile female fish (1.2%) with normal morphological features of crucian carp. The chromosome number was triploid (3n=150). This was the first case of cloned animal with somatic cells in fishes. It suggested that some nuclei of somatic cells, following two rounds of nuclear transplantation, could be reprogrammed to totipotent status as zygotic nucleus does.

Nuclear transplantation in fishes, as an approach for studying the relative roles of nucleus and cytoplasm in controlling the characteristics, had also been considered as a helpful method in obtaining new farming strains of fishes. Yan[3] indicated that the nuclear-cytoplasmic hybrid was 22% higher in growth rate, 3.8% higher in protein content and 5.58% lower in lipid content than that of the control, respectively. The art of nuclear transplantation in fish, however, not only requires very skilful manipulators but also bring about very low efficiency in producing the nuclear-cytoplasmic hybrids. The application of nuclear transfer fish in fishery is, thus, under restriction to a certain extent.

### Gene transfer in fish

People never give up their effort on pursuing of breeding new strains of farming species with high quality. Meanwhile, researchers are seeking for some genetic materials other than the whole cell nucleus for transfer. Around the late 1970's, researchers in China introduced the genomic DNA of common carp into the fertilized eggs of Mud carp (*Cirrhina molitorella*), a tropical species. About 8% of the "total DNA-transferred" founder Mud carp showed increase of cold-resistance [Zuoyan Zhu, et al. unpublished data].

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In early 1980's, with the advancement of techniques in molecular cloning and embryonic micro-manipulation, recombinant genes are able to be constructed and transferred into the host animals. The transgenic "super mouse" was the most stimulating report in transgenic studies[34].

The first batch of faster growing transgenic fish was generated by introducing a recombinant human growth hormone (hGH) gene capped with a mouse metallothionein-1 (MT) gene promoter into goldfish in 1984[5]. In this study, fertilized eggs of goldfish were obtained by artificial spawning and insemination and the chorion of eggs were removed by digestion in 0.25% trypsin solution. About 1-2nl of DNA solution containing about  $10^5$ - $10^6$  copies of the MThGH gene was delivered with a micromanipulator into the germinal disc just underneath the second polar body. All the manipulated eggs were carefully put into Holtfreter's solution for further development. By Southern hybridization, more than 50% of the founders are transgenics. Since then, dozens of laboratories all over the world began to show great zeal for the study of transgenic fish[35], and gene transfer into fish embryos were performed in several species, such as rainbow trout (*Salmo irideus Gibbonsi*), Atlantic salmon (*Salmo salar Linnaeus*), tilapia (*Oreochromis nilotica*), medaka (*Oryzias latipes*), common carp, zebrafish (*Danio rerio*), loach (*Misgurnus anguillicaudatus*), catfish (*Parasilurus asoltus Linnaeus*), etc[36]. Some other techniques, e.g. electroporation[37] and sperm-mediating[38], [39], were also successfully employed in producing of transgenic fish. In addition to gene transfer with GH gene, other types of genes were also employed in gene transfer in fish. For example, GFP (green fluorescent protein) gene was transferred into fertilized eggs of zebrafish as a reporter[40] and AFP (antifreeze proteins) gene was introduced into Atlantic salmon to gain freeze-resistant salmon[41]. However, gh is so far the most commonly used and most thoroughly investigated type of transgenes.

It was in a transgenic fish model that the behavior of a foreign gene, this time the MThGH gene, in embryogenesis was intensively studied by Zhu et al[42]. Southern blotting revealed that the behaviors of MThGH gene in host fish were a dynamic process, including replication, degradation, concatemer formation, and integration during embryogenesis. The replication began at very early stage of cleavage and suddenly took place at late-blastula to early-neurula stages. After neurula stage, most of the foreign gene were migrating with the host chromosomal DNA as revealed on the agarose gel by electrophoresis. It was suggested that foreign gene at these stages was in form of either large concatemers situated outside the chromosomes or integrated into the host genome. If the integration occurred at germ line cells of the host fish, the transgene could be inherited to their offspring. Northern hybridization showed that the transcripts of hGH gene could only be found at post-late-gastrula stage, which was consistent with the timing of the differentiation of fish embryonic cells. As a result of the expression of MThGH gene, transgenic fish showed significantly faster growing trait. On the other hand, a certain proportion of the founders did not show growth enhancement and a few even grew slower than the control. This unexpected observation was reasonable when

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the multi-sited integration and transgenic mosaicism were taken into account. In the case of the generation of transgenic mammals, foreign gene had been microinjected into the zygotic pronucleus, which resulted in the integration of foreign gene occurring mostly before the first cleavage[43]. It was believed that the status of transgene in founder mammals was in a homozygous form, which could be transmitted to next generation in a Mendelian manner. However, pronucleus of fish eggs is not visible and the foreign gene could only be microinjected into their cytoplasm. The foreign gene integration in fish was found to be spanned over a long time course from gastrula to late developmental stages resulting in multi-sited integration and consequent transgenic mosaicism. Three categories of transgene integration could be deduced: functional integration, silent integration, and toxic integration. It is only in the functional integration that the transgene was integrated into host genomic sites suitable for expression and therefore showing "fast-growth" trait. Thus, the founder generation ( $P_0$ ) of transgenic fish was far from a genetically homogeneous strain.

The specific growth rate (SGR) of  $P_0$ ,  $F_1$ , and  $F_2$  MThGH-transgenic fish, as well as of  $F_4$  generation was significantly higher than that of the controls[42],[44-47]. Bioenergetic analysis on MThGH-transgenic fish compared with controls was thoroughly worked out. When feeding with fresh tubificid worms, the energy budget of both transgenic and control fishes can be expressed by the following equations[46]:

#### MThGH-transgenic

$$F_2 \text{ fish } 100C = 8.9F + 0.63U + 49.03R + 41.44G$$

$$\text{Control fish } 100C = 7.37F + 1.14U + 53.36R + 38.19G$$

In which, C is the total energy from food, F is the energy lost in faeces, U is the energy lost in nitrogenous excretion, R is the energy channelled to metabolism and G is the energy channelled to growth.

Compared with the controls, transgenic fish had a significantly higher proportion of food energy channelled to G and a significantly lower proportion of that channelled to R and U. The transgenic fish saved 6.62% of the total energy from eaten food for growth improvement. That phenomenon was named as "fast-growing and less-eating" effect. Growth and feed utilization by MThGH-transgenic  $F_4$  fish feeding with diets containing different protein levels had also been carried out[47]. Protein and energy intakes were significantly higher in the transgenics than in the controls fed with 20% protein diet, and recovered energy, as a proportion of protein intake, was also significantly higher in the transgenics than in the controls fed with 40% protein diet. It was thus concluded that at a lower dietary protein level, transgenics achieved higher growth rates mainly by increasing food intake; but at a higher dietary protein level, transgenics achieved higher growth rates mainly through higher energy conversion efficiency. That is to say, transgenics are more efficient in utilizing dietary protein

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than the controls, which leads to transgenics getting a significantly higher specific growth rate than the controls. For the body composition, it was revealed that the transgenic fish had body contents of dry matter 1.6%, and protein 2.2% to 4.3% higher than those of the controls, but contents of lipid 8.9% to 13.1% lower than that of the controls[48]. The apparent digestibility of amino acids tends to be higher in the transgenics than in the controls, especially in fish fed diets with lower protein levels. While taking a look at the proportion of amino acids in transgenics and controls, there was no difference whatever between 17 amino acids. Thus, transgenic fishes would have much more nutritious value than control fishes. It is reasonable that fishes with "fast growing and less eating" as well as "high protein content and low lipid content" traits will fulfil human increasing requirement on protein source from fishes.

Nevertheless, both the mouse metallothionein-1 (MT-1) gene promoter and the hGH structural gene are not suitable for the purpose of producing farming species of transgenic fish. It was urged to construct "all-fish" gene for transfer[42]. Researchers have cloned both common carp  $\beta$ -actin gene (CA)[49], and grass carp growth hormone gene (gcGH)[50], and subsequently made a new construct of pCAg<sub>c</sub>GH, an "all-fish" genomic construct with a powerful promoter of b-actin gene from common carp and the whole transcription unit of GH gene from grass carp. In the spring of 1997, this construct has been microinjected into the fertilized eggs of Yellow River Carp (*Cyprinus Carpio L.*), and a batch of CAg<sub>c</sub>GH-transgenic was produced. As these fishes grew up to 5-month-old, body weight of the heaviest transgenic individual was 2.75 kg, while that for the controls was 1.1 kg. About 10% of the transgenics were over 2.0 kg, while the controls were about 0.7 kg on average. It is more exciting that the heaviest body weight of 17-month-old transgenics, 7.65 kg, was two fold and more than that of the control siblings [unpublished data]. Some experiments revealed further that the gene constructs with genetic elements derived from fish expressed more efficiently in fish cells than that from mammalian sp., e.g. the transcriptional activity of mouse MT promoter was only 1/2 of that of carp MT promoter in CAT (chloramphenical acetyl-transferase) gene-transferred fish cells[51]. Till now, more than ten "all-fish" recombinant genes have been constructed all over the world[35]. The most dramatic growth acceleration came from Delvin et al., in which their pOnMTGH1-transgenic salmon were more than 11-fold heavier than the controls on average[52].

It is very sensitive to talk about the biosafety of transgenic fish. There are food, genetic and ecological safeties, each of which should be concerned seriously. At present, the widely accepted principle on safety evaluation of foods produced by modern biotechnology was the "substantial equivalence principle" delivered by European OECD (Organization for Economic Cooperation and Development) in 1993[53]. According to this principle, "all-fish" transgenic carp is included in "level I", the safest level [54]. Studies from Cui's investigation revealed that the transgene could only flow among individuals within a species but not between species by natural reproduction[55]. Fish crossbreeding has been widely used in aquaculture, two sets of whole genome of different

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species mixing with each other, i.e.  $10^5$  genes of one species crossing with  $10^6$  genes of another species. On the other hand, the "all-fish" gene transfer can also be regarded as a crossbreeding, but  $10^4$  genes from one species cross with 1 gene of another species instead. It can be simply figured out that the heterozygosity of genome in the CAgcGH-transgenic common carp is about 105 times less than that in the hybrids between common carp and grass carp. In other words, the risk of stocking "all-fish" gene transgenics is considerably on a lower level in comparison with stocking the hybrid fish. Nevertheless, people should take a cautious attitude towards the application of transgenics, since transgenic fish is still a "newborn" in comparison with naturally existing species that have undergone a long evolutionary and selective course. As polyploid-breeding in fish has been very popular during the past[56], this technique can also be employed in the breeding of transgenic fish. By crossing tetraploid individual with haploid transgenics, the infertile triploid strain of transgenic fish could be generated. It is reasonable to consider that stocking infertile strain of transgenic fish will lessen their impact on water ecosystem to the least degree.

### The prospect of transgenic fish

Just as what has been discussed at the preceding part of this article, the transgenic fish generated at present is far from a genetic homogenous strain. Gene transfer in fish has not succeeded in the site-specific integration, controllable expression and stable transmission of the transgene. One of the most efficient ways to solve this problem is to use gene targeting technique in fish gene transfer. Gene targeting, homologous recombination between DNA sequence residing in the chromosome and newly introduced cloned DNA sequence, allows the transfer of any modified gene into the host genome of living cells. Since embryonic cell culture[4] and embryonic cell nuclear transplantation [2],[3] techniques have been developed in fishes, gene targeting is hopeful to be carried out to gain embryonic cell lines carrying artificially modified and site-specific integrated gene. By nuclear transplantation with gene-targeted embryonic cells, the genetic homogenous strain of transgenic fish can be generated. Additionally, to establish stem cell like lines in some model fish species, such as zebrafish and medakafish, were successfully reported[57-59]. If researchers can make great progress on the study of stem cell in farming fishes, it will be more convenient to generate homogenous strain of transgenic fish for aquaculture.

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